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Intellectual Property Law Group

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JC05 Rec'd PCT/PTO 27 JUL 2001



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PATENT TRADEMARK OFFICE

July 20, 2001

BOX PCT

Honorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

Attorney Docket No. 2201/49996

Re: Transmittal Letter to the United States  
Designated/Elected Office (DO/EO/US)  
Concerning a Filing Under 35 U.S.C. §371

International Application No.: PCT/JP00/08386  
International Filing Date: November 29, 2000

Priority date claimed: 30 November 1999  
Priority application number: 11-339330

Inventorship: Isao SAKATA  
Susumu NAKAJIMA  
Yoshinori NAKAE

Title: PORPHYRIN COMPOUNDS

Enclosed herewith for entering the national stage in the  
United States is the above-referenced international application.

1. ☒ This is a FIRST submission of items concerning a filing  
under 35 U.S.C. §371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items  
concerning a filing under 35 U.S.C. §371.
3. ☒ This express request to begin national examination  
procedures (35 U.S.C. §371(f)) at any time rather than  
delay examination until the expiration of the  
applicable time limit set in 35 U.S.C. §371(b) and PCT  
Articles 22 and 39(1).

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4. [X] A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. [X] A copy of the International Application as filed (35 U.S.C. §371(c)(2))
  - a. \_\_\_\_\_ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. X has been transmitted by the International Bureau. A copy of Form PCT/IB/308 is attached hereto.
  - c. \_\_\_\_\_ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. [X] A translation of the International Application into English (35 U.S.C. §371(c)(2)).
7. [X] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3))
  - a. \_\_\_\_\_ are transmitted herewith (required only if not transmitted by the International Bureau)
  - b. \_\_\_\_\_ have been transmitted by the International Bureau
  - c. \_\_\_\_\_ have not been made; however, the time limit for making such amendments has NOT expired
  - d. X have not been made and will not be made
8. [ ] A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. §371(c)(3)).
9. [X] An oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)) is:  
  
[X] Attached in the regular manner.  
[ ] NOT included, but deferred under P.L. 97-247.

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- 10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5))
- 11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. ☒ An Assignment of the invention in favor of the following organization is enclosed for recordation. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

**PHOTOCHEMICAL CO., LTD.**

- 13. ☒ A FIRST Preliminary Amendment.
- ☐ A SECOND or SUBSEQUENT Preliminary Amendment.
- 14. ☐ A substitute specification.
- 15. ☐ A change of power of attorney and/or address letter.
- 16. ☒ Other items of information:
  - ☐ Form PCT/RO/101 Request (in English/in French)
  - ☒ International Search Report
  - ☒ Small Entity Declaration Under 37 C.F.R. 1.27
  - ☒ 2 Sheets of Formal Drawings
  - ☐ \_\_\_\_\_ Sheets of Informal Drawings
  - ☐ The content of the paper and computer readable copy of the attached Sequence Listing, submitted in accordance with 37 CFR §1.821(c) and (e), respectively, are the same.
  - ☒ Kindly appoint as associate attorneys (if not already a principal attorney) or agents:

Herbert I. Cantor, Reg. No. 24,392; James F. McKeown, Reg. No. 25,406; Donald D. Evenson, Reg. No. 26,160; Joseph D. Evans, Reg. No. 26,269; Gary R. Edwards, Reg. No. 31,824; and Jeffrey D. Sanok, Reg. No. 32,169

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[X] The total amount due for the filing fee in this case  
is:

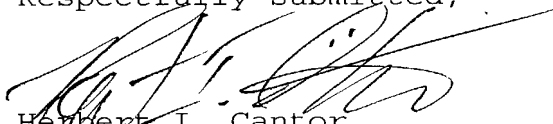
[X] Based on Small Entity Status

Total Number of Claims: 6  
Total Independent Claims: 2

Basic filing fee, \$860/\$430. . . . .	\$ <u>430.00</u>
Independent Claims above 3, \$80/\$40 ea. . . . .	\$ <u>          </u>
Total claims in excess of 20, \$18/\$9 ea. . . . .	\$ <u>          </u>
Multiple dependency penalty, \$270/\$135 . . . . .	\$ <u>          </u>
Declaration surcharge, \$130/65 . . . . .	\$ <u>          </u>
English translation surcharge, \$130 . . . . .	\$ <u>          </u>
 TOTAL FILING FEE DUE . . . . .	 \$ <u>430.00</u>

Please forward all communications regarding this application  
to the undersigned at the letterhead address.

Respectfully submitted,

  
Herbert I. Cantor  
Reg. No. 24,392

HIC/tcv

THE COMMISSIONER IS AUTHORIZED TO CHARGE ANY FEES WHICH  
MAY BE REQUIRED OR CREDIT ANY OVERPAYMENT TO DEPOSIT  
ACCOUNT NO. 05-1323. THIS FORM IS FILED IN DUPLICATE.

THIS IS A GENERAL AUTHORIZATION EXCLUDING ONLY PAYMENT  
OF THE ISSUE FEE.